



Instructions: Read each question and write the letter of the correct choice on the Attestation & Answer sheet. A score of 80% or higher is required to receive credit for this training (12 correct answers).

1. Compliance is the responsibility of:
 - a) Leadership
 - b) Compliance Officer
 - c) All Employees
 - d) Compliance Board
2. What are the Key Goals of the SCCCMH Compliance Program?
 - a) Detect misconduct and illegal activity
 - b) Prevent fraud, abuse, and waste
 - c) Disseminate law-abiding values
 - d) All of the above
3. After reporting a compliance issue to the compliance office, it is your responsibility to document the report:
 - a) True
 - b) False
4. Which of the following are actual or potential Conflicts of Interest that should be reported to your supervisor or HR?
 - a) You accepted paid outside employment at a contracted provider entity, but is only part-time and will not interfere with your normal work schedule for your entity.
 - b) Your spouse works for a vendor that is seeking to contract with your entity.
 - c) Your job includes referring consumers to providers and your daughter works at a provider organization.
 - d) You are completing a Master's Degree Program and are performing your internship at a contracted provider entity.
 - e) All of the above
5. It is acceptable to offer to pay someone to make referrals to your agency, or accept payments for making a referral to another agency.
 - a) True
 - b) False
6. It is okay to place an employee on a corrective action plan because they reported suspected fraud occurring within the agency in good faith.
 - a) True
 - b) False
7. Using federal funds to pay the salary and benefits of a person who is barred from participating in Medicare and Medicaid is an acceptable practice.
 - a) True
 - b) False
8. When a provider identifies receiving an overpayment, it is required to be repaid within 60 days of quantifying the amount.
 - a) True
 - b) False
9. Behavioral health providers can never share consumer records without permission.
 - a) True
 - b) False
10. Protected Health Information (PHI) can never be shared by encrypted email.
 - a) True
 - b) False

11. Under the minimum necessary rule, if your agency receives a request from a provider for a consumer's most recent Treatment Plan (mental health only) to assist that provider in appropriately treating the consumer, it is acceptable to send the provider the consumer's entire record.
 - a) True
 - b) False

12. The following are health care regulations, except:
 - a) Health Information Technology for Economic and Clinical Health Act (HITECH)
 - b) 42 CFR Part 2
 - c) Family Educational Rights and Privacy Act (FERPA)
 - d) Health Insurance Portability and Accountability Act (HIPAA)
 - e) Michigan Mental Health Code

13. What concern should NOT be reported?
 - a) Inappropriate interactions between consumers and staff
 - b) Billing for services not provided
 - c) Fired employee who is disgruntled with employer
 - d) Any irregular, suspicious, inaccurate or inconsistent activity
 - e) All the above concerns should be reported

14. Reporting Compliance Issues is:
 - a) Frowned upon
 - b) Optional
 - c) Required
 - d) Not a good idea

15. The State investigative unit which solicits, receives, and investigates complaints related to fraud, abuse and waste is?
 - a) Michigan Secretary of State
 - b) The Behavioral Health and Developmental Disabilities Administration
 - c) Department of Health and Human Services
 - d) Michigan Office of Inspector General (OIG)